



## Stonecastle Financial Corp. Releases Month End Estimated Net Asset Value as of October 2021

November 22, 2021

DENVER, Nov. 22, 2021 (GLOBE NEWSWIRE) -- StoneCastle Financial Corp., (NASDAQ:BANX) ("StoneCastle Financial" or the "Company"), today announced that the Company's estimated and unaudited Net Asset Value ("NAV") as of October 31, 2021 was \$21.95. The NAV was up \$0.09 from the prior month-end.

This estimated NAV is not a comprehensive statement of our financial condition or results for the month ended October 31, 2021. We advise you that our unaudited NAV per share for the fourth quarter ending December 31, 2021 will be released as part of our normal quarterly earnings reporting process and may differ materially from this estimated NAV.

### **About StoneCastle Financial Corp.**

StoneCastle Financial is an SEC registered non-diversified, closed-end investment company listed on the NASDAQ Global Select Market under the symbol "BANX." Its investment objective is to provide stockholders with current income. StoneCastle Financial is managed by StoneCastle-ArrowMark Asset Management, LLC. To learn more, visit [www.stonecastle-financial.com](http://www.stonecastle-financial.com).

### **Disclaimer and Risk Factors:**

There is no assurance that StoneCastle Financial will achieve its investment objective. StoneCastle Financial is subject to numerous risks, including investment and market risks, management risk, income and interest rate risks, banking industry risks, preferred stock risk, convertible securities risk, debt securities risk, liquidity risk, valuation risk, leverage risk, non-diversification risk, credit and counterparty risks, market at a discount from net asset value risk and market disruption risk. Shares of closed-end investment companies may trade above (a premium) or below (a discount) their net asset value. Shares of StoneCastle Financial may not be appropriate for all investors. Investors should review and consider carefully StoneCastle Financial's investment objective, risks, charges and expenses. Past performance does not guarantee future results.

The Annual Report, Semi-Annual Report and other regulatory filings of the Company with the SEC are accessible on the SEC's website at [www.sec.gov](http://www.sec.gov) and on the Company's website at [www.stonecastle-financial.com](http://www.stonecastle-financial.com).

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Source: StoneCastle Financial Corp.